

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.



Substitute for form 1449/PTO

Complete if Known**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 7

Attorney Docket Number 051319/0166

Application Number	10/789,163
Filing Date	February 26, 2004
First Named Inventor	Kazuo Hokkirigawa et al.
Art Unit	3682
Examiner Name	Not yet assigned (Krause)

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
JKC		US- 4,115,283	09-19-1978	Needham	
		US- 4,196,013	04-01-1980	Dannenberg et al.	
		US- 4,466,932	08-21-1984	Koyama et al.	
		US- 4,699,573	10-13-1987	Petrie et al.	
		US- 5,236,784	08-17-1993	Kobayashi et al.	
		US- 5,346,737	09-13-1994	Takahashi et al.	
		US- 5,360,633	11-01-1994	Dean	
		US- 5,432,213	07-11-1995	Kim et al.	
		US- 5,549,394	08-27-1996	Nowak et al.	
		US- 5,641,275	06-24-1997	Klein et al.	
		US- 5,697,709	12-16-1997	Mori et al.	
		US- 5,730,916	03-24-1998	Kunzel et al.	
		US- 5,762,699	06-09-1998	McGovern	
		US- 5,879,791	03-09-1999	Kato et al.	
		US- 5,916,499	06-29-1999	Murayama et al.	
		US- 6,033,118	03-07-2000	Asai et al.	
		US- 6,095,770	08-01-2000	Obata et al.	
		US- 6,109,887	08-29-2000	Takura et al.	
		US- 6,193,793B1	02-27-2001	Long et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	2/24/06
--------------------	--	-----------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-788-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Complete if Known**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 12 of 7

Application Number	10/789,163
Filing Date	February 26, 2004
First Named Inventor	Kazuo Hokkirigawa et al.
Art Unit	3682
Examiner Name	Not yet assigned

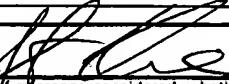
Attorney Docket Number 051319/0166

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² ³ (if known)			
(PK)		US- 6,342,088B1	01-29-2002	Klatt et al.	
		US- 6,395,677B1	05-28-2002	Hokkirigawa et al.	
		US- 6,448,307B1	09-10-2002	Medoff et al.	
		US- 6,472,042B1	10-29-2002	Dibbern et al.	
		US- 6,494,928B1	12-17-2002	Hokkirigawa et al.	
		US- 2002/0111388A1	08-15-2002	Hokkirigawa et al.	
		US- 2002/0114548A1	08-22-2002	Hokkirigawa et al.	
		US- 2002/0114549A1	08-22-2002	Hokkirigawa et al.	
		US- 2002/0152644A1	10-24-2002	Hokkirigawa et al.	
		US- 2002/0152694A1	10-24-2002	Hokkirigawa et al.	
		US- 2002/0152697A1	10-24-2002	Hokkirigawa et al.	
		US- 2002/0174605A1	11-28-2002	Hokkirigawa et al.	
		US- 2002/0174771A1	11-28-2002	Hokkirigawa et al.	
		US- 5,281,032	01-25-1994	Slocum	
		US- 2003/0134104A1	07-17-2003	Hokkirigawa et al.	
		US- 2003/0220421A1	11-27-2003	Hokkirigawa et al.	
		US- 6,550,508B1	04-22-2003	Yamaguchi et al.	
		US- 5,591,279A	01-07-1997	Midorikawa et al.	
AV		US- 6,573,215B2	06-03-2003	Hokkirigawa et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ "Kind Code ⁵ (if known)				
(PK)		JP-11021407	01/26/1999	Suzuki Toshinori		<input checked="" type="checkbox"/>
		SU 1 1835412 A1	08/23/1993	Bashtannik, P.		<input checked="" type="checkbox"/>
AV		JP-2001-304263	10/31/2001	Hirata Katsushi		<input checked="" type="checkbox"/>

Examiner Signature		Date Considered	2/24/06
--------------------	---	-----------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	10/789,163
		Filing Date	February 26, 2004
		First Named Inventor	Kazuo Hokkirigawa et al.
		Art Unit	3682
		Examiner Name	Not yet assigned
Sheet	3	of	7
		Attorney Docket Number	051319/0166

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
JMK	JP-07 268126		10/17/1995	NTN Corp.		✓
	JP-05-032797		09/02/1993	Japan Steel Works Ltd.		✓
	JP20002-266052A		09/26/2000	Nippon Seiko KK		✓
	JP-49-18458		05/10/1974	(in Japanese)		✓
	JP-64-82703		03/28/1989	Hitachi Ltd.		✓
↓	EP-0182703A1		11/08/1985	Estang, Bernard		✓

Examiner Signature		Date Considered	2/24/06
-----------------------	---	--------------------	---------

¹EXAMINER: Initially reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ²Applicant's unique citation designation number (optional). ³See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ⁴Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁷Applicant is to place a check mark here if English language Translation is attached.

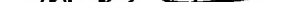
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	10/789,163
		Filing Date	February 26, 2004
		First Named Inventor	Kazuo Hokkirigawa et al.
		Art Unit	3682
		Examiner Name	Not yet assigned
Sheet	4	of	17
		Attorney Docket Number	051319/0166

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		Country Code ² -Number ⁴ Kind Code ⁵ (if known)			
JMK		DT 23 45 460	03/20/1975	Seydel, Wolfgang	
		JP-2001-89273	03/04/2001	Aaru Dakku:KK	
		JP-10-219031	08/18/1998	Yokohama Rubber	
		WO 93/23459	11/25/1993	Hoechst Celanese Corp	
		DT 2119917	04/23/1971	Deutsche Star	
↓		EP 1 344 791 A9	09/17/2003	Minebea Co. Ltd	

Examiner Signature  **Date Considered** 2/24/06

¹EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include/copy of this form with next communication to applicant. ²Applicant's unique citation designation number (optional). ³See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ⁴Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁷Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22311-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Complete if Known

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

5

of

7

Application Number

10/789,163

Filing Date

February 26, 2004

First Named Inventor

Kazuo Hokkirigawa et al.

Art Unit

3682

Examiner Name

Not yet assigned

Attorney Docket Number

051319/0166

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
JMK		European Search Report - Application No. EP 03 44 7156	
		H. IIZUKA et al., "Mechanical Properties of Porous Carbon Materials I.E. Woodceramics," 15 Journal of Materials Science Letters, 1770-72 (1996)	
		K. HOKKIRIGAWA, "Wear Mode Map of Ceramics," Wear 151, pages 219-228	
		K. HOKKIRIGAWA, "Wear Mode Map of Ceramics," Wear of Materials 353-358 (1991)	
		K. ADACHI et al., "The Wear Mechanism of Silicon Nitride In Rolling-Sliding Contact," Wear of Materials, pages 333-338 (1991)	
		K. HOKKIRIGAWA, "Advance Techniques For In-Situ Observations of Microscopic Wear Processes," Surface Modification Technologies VIII, pages 93-105 (1995)	
		K. ADACHI et al., "The Wear Mechanism of Silicon Nitride in Rolling-Sliding Contact," Wear, 151, pages 291-300 (1991)	
		H. KITSUNAI et al., "Transitions of Microscopic Wear Mechanism for Cr ₂ O ₃ Ceramic Coatings During Repeated Sliding Observed in a Scanning Electron Microscope Tribosystem," Wear, 151, (1991) pages 279-289	
		K. HOKKIRIGAWA, "Development and Application of Rice Bran Ceramics As A New Tribo-Material," Proceedings of the International Tribology Conference, Nagasaki, 2000, pages 31-38	
✓		K. HOKKIRIGAWA et al., "Development of New Linear Dry Sliding Bearing By Using RB Ceramics," Proceedings of the International Tribology Conference, 2000, pages 845-849	

Examiner Signature		Date Considered	2/24/06
--------------------	---	-----------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/789,163
		Filing Date	February 26, 2004
		First Named Inventor	Kazuo Hokkirigawa et al.
		Art Unit	3682
		Examiner Name	Not yet assigned
Sheet 6	of 7	Attorney Docket Number	051319/0166

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ²
SMA		K. HOKKIRIGAWA, "Friction and Wear Properties of New Tribomaterials "RB Ceramics" Made From Rice Gran," Proceedings of the International Tribology Conference, pages 839-843 (2000)		
		T. AKAGAKI, "Friction and Wear of Woodceramics Under Oil and Water Lubricated Sliding Contacts," Journal of Porous Materials 6, pages 197-204 (1999)		
		M. IMURA et al., "Large Magnetroresistance Effect in Isotropic Polycrystalline Pervoskite-Like La-AM-Mn-O (AM = K, Rb) Ceramics," Journal of the Ceramic Society of Japan 104, pages 151-154 (1996)		
		D.F. DIAO, "Fracture Mechanisms of Ceramic Coatings in Indentation," Journal of Tribology (1994), pages 860-869		
		K. HOKKIRIGAWA, "Material Report R&D Development of Hard Porous Carbon Materials "RB Ceramics" by Using Rice Bran as Material," Functional Materials, May 1997, Vol. 17, No. 5, pages 24-28		
		T. Kato et al., "Wear Mode Transition of Hard Films On A1 Alloys Under Variable Normal Load," Surface Engineering 1997 Vol. 13 No. 3, pgs. 204-205		
		European Search Report - EP 03 07 5621		
		International Search Report - PCT/US93/02051		
✓		Communication -EP - Application No. 03005714.5-1267, including Patent Abstract of Japan, Application No. 10049714, Pub. date 02/20/98 for On-Board System		

Examiner Signature		Date Considered	2/24/06
--------------------	--	-----------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.
 This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:
 Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	10/789,163
				Filing Date	February 26, 2004
				First Named Inventor	Kazuo Hokkirigawa et al.
				Art Unit	3682
				Examiner Name	Not Yet Assigned
Sheet	7	of	7	Attorney Docket Number	051319/0166

NON PATENT LITERATURE DOCUMENTS

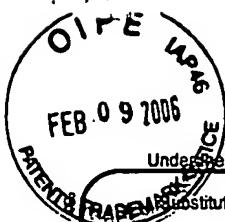
Examiner Signature		Date Considered	25/24/06
-----------------------	---	--------------------	----------

**EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.*

1 Applicant's unique citation designation number (optional). **2** Applicant Is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



PTO/SB/08A (08-03)

Approved for use through 07/31/2008. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
to a collection of information unless it contains a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

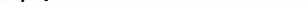
Sheet 1 of 1

Complete if Known

Application Number	10/789,163
Filing Date	February 26, 2004
First Named Inventor	Kazuo Hokkirigawa
Art Unit	3682
Examiner Name	Not yet assigned
Attorney Docket Number	051319-0166

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
-----------------------	---	--------------------	--

EXAMINER: Initial preference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.87 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.